# Preserved extreme points in Lipschitz-free spaces

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5th Workshop on Functional Analysis Valencia, 17 Oct 2017 • (X, d) is a complete metric space

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- $f: X \to \mathbb{R}$  is *Lipschitz* iff

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### Theorem

Let  $X' \subset X$ . Every  $f : X' \to \mathbb{R}$  can be extended to X in such a way that L(f) and  $||f||_{\infty}$  are preserved.

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This is *not* true if  $\mathbb{R}$  is replaced by  $\mathbb{C}$ .

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- $\operatorname{Lip}_0(X, e) \simeq \operatorname{Lip}_0(X, e')$  under  $f \mapsto f f(e')$

# • $j(x): f \mapsto f(x)$ is the evaluation operator on $x \in X$

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Theorem (Arens, Eells 1956)

 $\mathcal{F}(X)^* \simeq \operatorname{Lip}_0(X).$ 

### Theorem (Weaver 1999)

Let *Y* be a Banach space. If  $f: X \to Y$  is Lipschitz and f(e) = 0 then there is  $h \in \mathcal{B}(\mathcal{F}(X), Y)$  with ||h|| = L(f) and  $f = h \circ j$ .



Some tools

# de Leeuw transform

$$\Phi: \operatorname{Lip}(X) \to C(\widetilde{X})$$
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### Elementary molecules

$$u_{pq} = \frac{j(p) - j(q)}{d(p,q)} \in S_{\mathcal{F}(X)}$$

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### Elementary molecules

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### Theorem (Weaver 1995)

If  $m \in \mathcal{F}(X)$  is a preserved extreme point of  $B_{\mathcal{F}(X)}$  then  $m = u_{pq}$  for some  $(p,q) \in \widetilde{X}$ .

- $\beta X$ , Stone-Čech compactification of X
  - For  $p \in X$ , consider  $d(p, \cdot) \colon \beta X \to [0, \infty]$

$$d(x,\xi) = \lim_{i} d(p,x_i)$$
, where  $x_i \to \xi$ 

• If  $d(p,\xi) < \infty$ , the evaluation on  $\xi$  is in  $\operatorname{Lip}_0(X)^*$ 

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- $\beta \widetilde{X}$ , Stone-Čech compactification of  $\widetilde{X}$ 
  - For  $f \in \operatorname{Lip}(X)$ , consider  $\Phi f \in C(\beta \widetilde{X})$

$$\Phi f(\zeta) = \lim_{i} \Phi f(x_i, y_i)$$
, where  $(x_i, y_i) \to \zeta$ 

• 
$$\Phi: \operatorname{Lip}_0(X) \to C(\beta \widetilde{X})$$
 is an isometry into  
•  $\Phi^*: M(\beta \widetilde{X}) \to \operatorname{Lip}_0(X)^*$  is onto

• y is an *extreme point* of  $B_Y$  iff

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**(a)** y is a strongly exposed point of  $B_Y$  iff

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Note:  $(3) \Rightarrow (2) \Rightarrow (1)$ 

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### Theorem

If  $\varepsilon(r; p, q) = 0$  for some  $r \in X \setminus \{p, q\}$ , then  $u_{pq}$  is *not* an extreme point of  $B_{\mathcal{F}(X)}$ .

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### Proof:

$$u_{pq} = \frac{j(p) - j(q)}{d(p,q)} = \frac{j(p) - j(r) + j(r) - j(q)}{d(p,q)}$$
$$= \frac{d(p,r)}{d(p,q)} u_{pr} + \frac{d(r,q)}{d(p,q)} u_{rq}. \quad \Box$$

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$$\varepsilon(\xi; p, q) := d(p, \xi) + d(q, \xi) - d(p, q) \ge 0, \, \xi \in \beta X$$

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Proof:  $d(p,\xi), d(q,\xi) < \infty \Rightarrow j(\xi) \in \operatorname{Lip}_0(X)^* = \mathcal{F}(X)^{**}$ 

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*Proof:* 
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Define  $u_{p\xi} = \frac{j(p) - j(\xi)}{d(p,\xi)}, u_{\xi q} = \frac{j(\xi) - j(q)}{d(\xi,q)}$ . Both are in  $S_{\mathcal{F}(X)^{**}}$ .
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 $u_{pq} = \frac{d(p,\xi)}{d(p,q)}u_{p\xi} + \frac{d(\xi,q)}{d(p,q)}u_{\xi q}$ .

Suppose  $\varepsilon(\xi; p, q) > 0$  for all  $\xi \in \beta X \setminus \{p, q\}$ . Then  $u_{pq}$  is a preserved extreme point of  $B_{\mathcal{F}(X)}$ .

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 $\Phi^* \delta_{(p,q)} = u_{pq} \Rightarrow$  Prove that  $\mu_i$  is concentrated on  $(p,q)$  and  $(q,p)$ .

Consider the set

 $D_{(p,q)} = \big\{ \zeta \in \beta \widetilde{X} : \text{if } f \in \operatorname{Lip}_0(X) \text{ attains its norm at } (p,q), \\ \text{then } f \text{ also attains its norm at } \zeta \big\}.$ 

(*f* attains its norm at  $\zeta$  if  $|\Phi f(\zeta)| = L(f)$ )

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*Proof:* Let  $\zeta \in \beta \widetilde{X} \setminus D_{(p,q)}$ . There is  $f \in \text{Lip}_0(X)$  such that L(f) = 1,  $\Phi f(p,q) = 1$ ,  $|\Phi f(\zeta)| < 1$ . There are c < 1 and  $U(\zeta)$  such that  $|\Phi f(\zeta')| \leq c$  for  $\zeta' \in U(\zeta)$ .

$$\langle \mu_i, \Phi f \rangle = \int_{\beta \widetilde{X}} (\Phi f) \, d\mu_i = \int_{U(\zeta)} + \int_{\beta \widetilde{X} \setminus U(\zeta)} \leq 1 - (1 - c) \, |\mu_i| \, (U(\zeta))$$
  
$$\Rightarrow 1 = \langle f, u_{pq} \rangle = \left\langle \frac{1}{2} (\mu_1 + \mu_2), \Phi f \right\rangle \leq 1 - \frac{1 - c}{2} (|\mu_1| + |\mu_2|) (U(\zeta))$$
  
$$\Rightarrow |\mu_1| \, (U(\zeta)) = |\mu_2| \, (U(\zeta)) = 0$$

For any  $(p,q) \in \widetilde{X}$ ,  $\mu_i$  are concentrated in  $D_{(p,q)}$ .

# By regularity

$$|\mu_i|\left(\beta \widetilde{X} \setminus D_{(p,q)}\right) = \sup_{\substack{K \subset \beta \widetilde{X} \setminus D_{(p,q)} \\ K \text{ compact}}} |\mu_i|\left(K\right)$$

For any *K*, find a cover  $K \subset \bigcup_{j=1}^{n} U(\zeta_j)$ .

$$|\mu_i|(K) \le \sum_{j=1}^n |\mu_i|(U(\zeta_j)) = 0$$

 $\Rightarrow |\mu_i| \left(\beta \widetilde{X} \setminus D_{(p,q)}\right) = 0. \ \Box$ 

For any  $(p,q) \in \widetilde{X}$ ,  $\mu_i$  are concentrated in  $D_{(p,q)}$ .

Note: We did not use the fact that  $\varepsilon(\xi; p, q) > 0$  for all  $\xi \in \beta X \setminus \{p, q\}$ .

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For  $D_{(p,q)}=\{(p,q),(q,p)\}$  we get:

# Theorem (AG 2017)

If  $(p,q) \in \widetilde{X}$  and for every  $\zeta \in \beta \widetilde{X}$ ,  $\zeta \neq (p,q), (q,p)$  there is  $f \in \operatorname{Lip}_0(X)$ such that  $|\Phi f(p,q)| = L(f)$  and  $|\Phi(\zeta)| < L(f)$ , then  $u_{pq}$  is a preserved extreme point of  $B_{\mathcal{F}(X)}$ .

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#### Theorem (de Leeuw 1961)

If  $(p,q) \in \widetilde{X}$  and there is  $f \in \text{Lip}_0(X)$  that peaks at (p,q), then  $u_{pq}$  is a preserved extreme point of  $B_{\mathcal{F}(X)}$ .

(*f* peaks at (p,q) if  $|\Phi f| = L(f)$  in (p,q), (q,p) and < L(f) elsewhere)

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# Theorem (García-Lirola, Procházka, Rueda Zoca 2017)

Let *X* be a pointed metric space and  $(p,q) \in \widetilde{X}$ . TFAE: (i)  $u_{pq}$  is a strongly exposed point of  $B_{\mathcal{F}(X)}$ (ii) There is  $f \in \operatorname{Lip}_0(X)$  that peaks at (p,q)

# Step 2?

# If $\varepsilon(\xi; p, q) > 0$ for all $\xi \in \beta X \setminus \{p, q\}$ , then

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Counterexample:

If  $p_n \to p$  and  $\frac{\varepsilon(p_n; p, q)}{d(p_n, p)} \to 0$  then  $D_{(p,q)}$  contains a point that lies over p.

 $(\zeta \in \beta \widetilde{X} \text{ lies over } p \text{ if } (x_i, y_i) \to \zeta \text{ where } x_i, y_i \to p)$ 

# If $\varepsilon(\xi; p, q) > 0$ for all $\xi \in \beta X \setminus \{p, q\}$ , then

 $D_{(p,q)} = \{(p,q), (q,p)\} \cup \{\text{points that lie over } p \text{ or } q\}$ 

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*Proof:* Let  $(x_i, y_i) \rightarrow \zeta \in D_{(p,q)}, x_i \rightarrow \xi, y_i \rightarrow \eta$ , where  $\xi, \eta \in \beta X$ . We must show that  $\zeta \in D_{(p,q)} \Rightarrow \xi, \eta \in \{p,q\}$ . Suppose  $\xi, \eta \neq p, q$  (the other case is similar).

$$\varepsilon(\xi; p, q), \varepsilon(\eta; p, q) > 0 \Rightarrow \inf \frac{\varepsilon(x_i; p, q)}{d(x_i, q)}, \inf \frac{\varepsilon(y_i; p, q)}{d(y_i, q)} \ge c > 0$$

Define  $g \in \operatorname{Lip}(\{p, q, x_i, y_i\})$  as

$$g(x) = \begin{cases} d(x,q) & \text{if } x = p\\ (1-c) \cdot d(x,q) & \text{if } x = q \text{ or } x_i \text{ or } y_i \end{cases}$$

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$$\begin{array}{l} \bullet \ \Phi g(p,q) = 1 \\ \bullet \ |\Phi g(x,y)| \leq 1 - c \text{ if } x, y \neq p \\ \bullet \ |\Phi g(x,p)| \leq 1 \text{ if } x \neq p, q \text{ (definition of } c) \end{array} \right\} \Rightarrow L(g) = 1 \\ \begin{array}{l} \Rightarrow L(g) = 1 \\ \Rightarrow L(g) = 1, \text{ and } |\Phi f(\zeta)| = 1, \\ \Phi f(p,q) = 1, \text{ and } |\Phi f(\zeta)| = \lim_{i} |\Phi f(x_i, y_i)| \leq 1 - c. \\ \Rightarrow \zeta \notin D_{(p,q)}. \ \Box \end{array} \right\}$$

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*Proof:* Let  $\mu_i = \lambda_i + \lambda'_i$  where  $\lambda_i$  is concentrated on  $\{(p,q), (q,p)\}$  and  $\lambda'_i$  on  $D_{(n,q)} \setminus \{(p,q), (q,p)\}.$ Choose  $f \in \text{Lip}_0(X)$  with L(f) = 1,  $\Phi f(p,q) = 1$  and f constant in neighborhoods of p and q. Then  $\Phi f(\zeta) = 0$  if  $\zeta \in \beta X$  lies over p or q. So  $\int_{\beta X} (\Phi f) d\lambda'_i = 0$ .  $1 = \langle f, u_{pq} \rangle = \left\langle \frac{1}{2} (\mu_1 + \mu_2), \Phi f \right\rangle = \frac{1}{2} \left( \int_{\mathscr{X}} (\Phi f) \, d\lambda_1 + \int_{\mathscr{X}} (\Phi f) \, d\lambda_2 \right)$  $\leq \frac{1}{2} \|\Phi f\|_{\infty} \left(\|\lambda_1\| + \|\lambda_2\|\right) \leq \frac{1}{2} \|\Phi f\|_{\infty} \left(\|\mu_1\| + \|\mu_2\|\right) \leq 1$  $\Rightarrow \|\lambda_1'\| = \|\lambda_2'\| = 0.$ 

Let *X* be a pointed metric space and  $p \neq q \in X$ . TFAE: (i)  $u_{pq}$  is a preserved extreme point of  $B_{\mathcal{F}(X)}$ (ii)  $\varepsilon(\xi; p, q) > 0$  for all  $\xi \in \beta X \setminus \{p, q\}$ 

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### Corollary (AG 2017)

Let *X* be a *compact* pointed metric space and  $p \neq q \in X$ . TFAE: (i)  $u_{pq}$  is a preserved extreme point of  $B_{\mathcal{F}(X)}$ 

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#### Concave spaces

# X is *concave* if all $u_{pq}$ are preserved extreme points of $B_{\mathcal{F}(X)}$

X is *concave* if all  $u_{pq}$  are preserved extreme points of  $B_{\mathcal{F}(X)}$ 

# Theorem (Mayer-Wolf 1981)

Let X, Y be concave metric spaces. TFAE: (i)  $\operatorname{Lip}_0(X) \simeq \operatorname{Lip}_0(Y)$ (ii)  $\mathcal{F}(X) \simeq \mathcal{F}(Y)$ (iii) There is a dilation from X onto Y

$$(f: X \to Y \text{ is a dilation if } \frac{d(f(x), f(y))}{d(x, y)} \text{ is constant})$$

# Conjecture (Weaver 1999)

If X is a compact metric space such that d(p,q) < d(p,r) + d(q,r) for all distinct  $p, q, r \in X$ , then X is concave.

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#### Corollary

Compact Hölder spaces are concave.

#### Theorem

Let *X* be a pointed metric space and  $m \in \mathcal{F}(X)$ . TFAE: (i) *m* is a preserved extreme point of  $B_{\mathcal{F}(X)}$ (ii)  $m = u_{pq}$  where  $p \neq q \in X$  have the property:

 $\begin{array}{ll} (\mathsf{P}^*) & \text{For any } \varepsilon > 0, \text{ there is } \delta > 0 \text{ such that, for all } r \neq p, q \\ & d(p,r), d(q,r) \geq \varepsilon \, \Rightarrow \, \varepsilon(r;p,q) \geq \delta \end{array}$ 

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(E1\*) All preserved extreme points of  $B_{\mathcal{F}(X)}$  are of the form  $u_{pq}$ .

(E2\*)  $u_{pq}$  is preserved extreme iff p, q have property (P\*).

# Theorem (García-Lirola, Procházka, Rueda Zoca 2017)

Let *X* be a pointed metric space and  $m \in \mathcal{F}(X)$ . TFAE: (i) *m* is a strongly exposed point of  $B_{\mathcal{F}(X)}$ (ii)  $m = u_{pq}$  where  $p \neq q \in X$  have the property:

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Let *X* be a pointed metric space and  $m \in \mathcal{F}(X)$ . TFAE: (i) *m* is an extreme point of  $B_{\mathcal{F}(X)}$ (ii)  $m = u_{pq}$  where  $p \neq q \in X$  have the property:

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(E2)  $u_{pq}$  is extreme iff p, q have property (P).

- (E2) is true if X is compact
- (E1) is true if X is compact and lip<sub>0</sub>(X) separates points uniformly (in this case, F(X) = lip<sub>0</sub>(X)\*)

$$\begin{split} \mathrm{lip}_0(X) = & \big\{ f \in \mathrm{Lip}_0(X) : \forall \varepsilon > 0 \, \exists \delta > 0 \text{ such that} \\ & d(p,q) < \delta \Rightarrow |\Phi f(p,q)| < \varepsilon \big\}. \end{split}$$

 $lip_0(X)$  separates points uniformly if  $\exists C \ge 1$  such that  $\forall p, q \in X$  $\exists f \in lip_0(X)$  with  $|\Phi f(p,q)| = 1$  and  $L(f) \le C$ .

Examples:

- Cantor middle-thirds set
- Compact Hölder spaces
- (Dalet 2015) Compact countable spaces
- (Dalet 2015) Compact ultrametric spaces

# Corollary

If X is

- the Cantor middle-thirds set,
- a compact Hölder space,
- a countable compact space, or
- an ultrametric compact space,

then

$$\begin{aligned} & \operatorname{Ext} B_{\mathcal{F}(X)} = \Big\{ u_{pq} : p, q \in X, p \neq q, \\ & d(p,q) < d(p,r) + d(q,r) \text{ for all } r \in X \setminus \{p,q\} \Big\} \end{aligned}$$