

# RADON-NIKODÝM COMPACT SPACES OF LOW WEIGHT AND BANACH SPACES

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ABSTRACT. We prove that a continuous image of a Radon-Nikodým compact of weight less than  $\mathfrak{b}$  is Radon-Nikodým compact. As a Banach space counterpart, subspaces of Asplund generated Banach spaces of density character less than  $\mathfrak{b}$  are Asplund generated. In this case, in addition, there exists a subspace of an Asplund generated space which is not Asplund generated which has density character exactly  $\mathfrak{b}$ .

The concept of Radon-Nikodým compact, due to Reynov [12], has its origin in Banach space theory, and it is defined as a topological space which is homeomorphic to a weak\* compact subset of the dual of an Asplund space, that is, a dual Banach space with the Radon-Nikodým property (topological spaces will be here assumed to be Hausdorff). In [9], the following characterization of this class is given:

**Theorem 1.** *A compact space  $K$  is Radon-Nikodým compact if and only if there is a lower semicontinuous metric  $d$  on  $K$  which fragments  $K$ .*

Recall that a map  $f : X \times X \rightarrow \mathbb{R}$  on a topological space  $X$  is said to *fragment*  $X$  if for each (closed) subset  $L$  of  $X$  and each  $\varepsilon > 0$  there is a nonempty relative open subset  $U$  of  $L$  of  $f$ -diameter less than  $\varepsilon$ , i.e.  $\sup\{f(x, y) : x, y \in U\} < \varepsilon$ . Also, a map  $g : Y \rightarrow \mathbb{R}$  from a topological space to the real line is *lower semicontinuous* if  $\{y : g(y) \leq r\}$  is closed in  $Y$  for every real number  $r$ .

It is an open problem whether a continuous image of a Radon-Nikodým compact is Radon-Nikodým. Arvanitakis [2] has made the following approach to this problem: if  $K$  is a Radon-Nikodým compact and  $\pi : K \rightarrow L$  is a continuous surjection, then we have a lower semicontinuous fragmenting metric  $d$  on  $K$ , and if we want to prove that  $L$  is Radon-Nikodým compact, we should find such a metric on  $L$ . A natural candidate is:

$$d_1(x, y) = d(\pi^{-1}(x), \pi^{-1}(y)) = \inf\{d(t, s) : \pi(t) = x, \pi(s) = y\}.$$

The map  $d_1$  is lower semicontinuous and fragments  $L$  and it is a *quasi metric*, that is, it is symmetric and vanishes only if  $x = y$ . But it is not a metric because, in general, it lacks triangle inequality. Consequently, Arvanitakis [2] introduced the

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following concept:

**Definition 2.** A compact space  $L$  is said to be *quasi Radon-Nikodým* if there exists a lower semicontinuous quasi metric which fragments  $L$ .

The class of quasi Radon-Nikodým compacta is closed under continuous images but it is unknown whether it is the same class as that of Radon-Nikodým compacta or even the class of their continuous images. At least other two superclasses of continuous images of Radon-Nikodým compacta appear in the literature. Reznichenko [1, p. 104] defined a compact space  $L$  to be *strongly fragmentable* if there is a metric  $d$  which fragments  $L$  such that each pair of different points of  $L$  possess disjoint neighbourhoods at a positive  $d$ -distance. It has been noted by Namioka [10] that the classes of quasi Radon-Nikodým and strongly fragmentable compacta are equal. The other superclass of continuous images of Radon-Nikodým compacta, called *countably lower fragmentable* compacta, was introduced by Fabian, Heisler and Matoušková [5]. In section 3, we recall its definition and we prove that this class is equal to the other two.

The main result in section 1 is the following:

**Theorem 3.** *If  $K$  is a quasi Radon-Nikodým compact space of weight less than  $\mathbf{b}$ , then  $K$  is Radon-Nikodým compact.*

The weight of a topological space is the least cardinality of a base for its topology. We also recall the definition of cardinal  $\mathbf{b}$ . In the set  $\mathbb{N}^{\mathbb{N}}$  we consider the order relation given by  $\sigma \leq \tau$  if  $\sigma_n \leq \tau_n$  for all  $n \in \mathbb{N}$ . Cardinal  $\mathbf{b}$  is the least cardinality of a subset of  $\mathbb{N}^{\mathbb{N}}$  which is not  $\sigma$ -bounded for this order (a set is  $\sigma$ -bounded if it is a countable union of bounded subsets). It is consistent that  $\mathbf{b} > \omega_1$ . In fact, Martin's axiom and the negation of the continuum hypothesis imply that  $\mathbf{c} = \mathbf{b} > \omega_1$ , cf. [6, 11D and 14B]. It is also possible that  $\mathbf{c} > \mathbf{b} > \omega_1$ , cf. [17, section 5]. On the other hand, cardinal  $\mathbf{d}$  is the least cardinality of a cofinal subset of  $(\mathbb{N}^{\mathbb{N}}, \leq)$ , that is, a set  $A$  such that for each  $\sigma \in \mathbb{N}^{\mathbb{N}}$  there is some  $\tau \in A$  such that  $\sigma \leq \tau$ . In a sense, the following proposition puts a rough bound on the size of the class of quasi Radon-Nikodým compacta with respect to Radon-Nikodým compacta.

**Proposition 4.** *Every quasi Radon-Nikodým compact space embeds into a product of Radon-Nikodým compact spaces with at most  $\mathbf{d}$  factors.*

In section 2 we discuss the Banach space counterpart to Theorem 3. A Banach space  $V$  is Asplund generated, or *GSG*, if there is some Asplund space  $V'$  and a bounded linear operator  $T : V' \rightarrow V$  such that  $T(V')$  is dense in  $V$ . Our main result for this class is the following:

**Theorem 5.** *Let  $V$  be a Banach space of density character less than  $\mathbf{b}$  and such that the dual unit ball  $(B_{V^*}, w^*)$  is quasi Radon-Nikodým compact, then  $V$  is Asplund generated.*

The density character of a Banach space is the least cardinal of a norm-dense subset, and it equals the weight of its dual unit ball in the weak\* topology.

Examples constructed by Rosenthal [13] and Argyros [4, section 1.6] show that there exist Banach spaces which are subspaces of Asplund generated spaces but which are not Asplund generated. However, since the dual unit ball of a subspace of an Asplund generated space is a continuous image of a Radon-Nikodým compact [4, Theorem 1.5.6], we have the following corollary to Theorem 5:

**Corollary 6.** *If a Banach space  $V$  is a subspace of an Asplund generated space and the density character of  $V$  is less than  $\mathbf{b}$ , then  $V$  is Asplund generated.*

Also, a Banach space is weakly compactly generated (WCG) if it is the closed linear span of a weakly compact subset. The same examples mentioned above show that neither is this property inherited by subspaces. A Banach space  $V$  is weakly compactly generated if and only if it is Asplund generated and its dual unit ball  $(B_{V^*}, w^*)$  is Corson compact [11], [14]. Having Corson dual unit ball is a hereditary property since a continuous image of a Corson compact is Corson compact [7], hence:

**Corollary 7.** *If a Banach space  $V$  is a subspace of a weakly compactly generated space and the density character of  $V$  is less than  $\mathbf{b}$ , then  $V$  is weakly compactly generated.*

Corollary 7 can also be obtained from the following theorem, essentially due to Mercourakis [8]:

**Theorem 8.** *If a Banach space  $V$  is weakly  $\mathcal{K}$ -analytic and the density character of  $V$  is less than  $\mathbf{b}$ , then  $V$  is weakly compactly generated.*

The class of weakly  $\mathcal{K}$ -analytic spaces is larger than the class of subspaces of weakly compactly generated spaces. We recall its definition in section 2. The result of Mercourakis [8, Theorem 3.13] is that, under Martin's axiom, weakly  $\mathcal{K}$ -analytic Banach spaces of density character less than  $\mathbf{c}$  are weakly compactly generated, but his arguments prove in fact the more general Theorem 8. We give a more elementary proof of this theorem, obtaining it as a consequence of a purely topological result: Any  $\mathcal{K}$ -analytic topological space of density character less than  $\mathbf{b}$  contains a dense  $\sigma$ -compact subset. We also remark that it is not possible to generalize Theorem 8 for the class of weakly countably determined Banach spaces.

Cardinal  $\mathbf{b}$  is best possible for Theorem 5, Theorem 8 and their corollaries, as it is shown by slight modifications of the mentioned example of Argyros [4, section 1.6]

and of the example of Talagrand [15] of a weakly  $\mathcal{K}$ -analytic Banach space which is not weakly compactly generated, so that we get examples of density character exactly  $\mathbf{b}$ .

For information about cardinals  $\mathbf{b}$  and  $\mathbf{d}$  we refer to [17]. Concerning Banach spaces, our main reference is [4].

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### 1. QUASI RADON-NIKODÝM COMPACTA OF LOW WEIGHT

In this section, we characterize quasi Radon-Nikodým compacta in terms of embeddings into cubes  $[0, 1]^\Gamma$  and from this, we will derive proofs of Theorem 3 and Proposition 4. Techniques of Arvanitakis [2] will play an important role in this section, as well as the following theorem of Namioka [9]:

**Theorem 9.** *Let  $K$  be a compact space. The following are equivalent.*

- (1)  *$K$  is Radon-Nikodým compact.*
- (2) *There is an embedding  $K \subset [0, 1]^\Gamma$  such that  $K$  is fragmented by the uniform metric  $d(x, y) = \sup_{\gamma \in \Gamma} |x_\gamma - y_\gamma|$ .*

Let  $P \subset \mathbb{N}^\mathbb{N}$  be the set of all strictly increasing sequences of positive integers. Note that this is a cofinal subset of  $\mathbb{N}^\mathbb{N}$ . For each  $\sigma \in P$  we consider the lower semicontinuous non decreasing function  $h^\sigma : [0, +\infty] \rightarrow \mathbb{R}$  given by:

- $h^\sigma(0) = 0$ ,
- $h^\sigma(t) = \frac{1}{\sigma_n}$  whenever  $\frac{1}{n+1} < t \leq \frac{1}{n}$ .
- $h^\sigma(t) = \frac{1}{\sigma_1}$  whenever  $t > 1$ .

Also, if  $f : X \times X \rightarrow \mathbb{R}$  is a map and  $A, B \subset X$ , we will use the notation  $f(A, B) = \inf\{f(x, y) : x \in A, y \in B\}$ .

**Theorem 10.** *Let  $K$  be a compact subset of the cube  $[0, 1]^\Gamma$ . The following are equivalent:*

- (1)  *$K$  is quasi Radon-Nikodým compact.*
- (2) *There is a map  $\sigma : \Gamma \rightarrow P$  such that  $K$  is fragmented by*

$$f(x, y) = \sup_{\gamma \in \Gamma} h^{\sigma(\gamma)}(|x_\gamma - y_\gamma|)$$

*which is a lower semicontinuous quasi metric.*

PROOF: Observe that  $f$  in (2) is expressed as a supremum of lower semicontinuous functions, and therefore, it is lower semicontinuous. Also,  $f(x, y) = 0$  if and only if  $h^{\sigma(\gamma)}(|x_\gamma - y_\gamma|) = 0$  for all  $\gamma \in \Gamma$  if and only if  $|x_\gamma - y_\gamma| = 0$  for all  $\gamma \in \Gamma$ . Hence,  $f$  is indeed a lower semicontinuous quasi metric and it is clear that (2) implies (1). Assume now that  $K$  is quasi Radon-Nikodým compact and let  $g : K \times K \rightarrow [0, 1]$  be a lower semicontinuous quasi metric which fragments  $K$ . For  $\gamma \in \Gamma$ , we call  $p_\gamma : K \rightarrow [0, 1]$  the projection on the coordinate  $\gamma$ ,  $p_\gamma(x) = x_\gamma$ , and we define a quasi metric  $g_\gamma$  on  $[0, 1]$  by the rule:

$$g_\gamma(t, s) = \begin{cases} g(p_\gamma^{-1}(t), p_\gamma^{-1}(s)) & \text{if } p_\gamma^{-1}(t) \text{ and } p_\gamma^{-1}(s) \text{ are nonempty,} \\ 1 & \text{otherwise.} \end{cases}$$

Note that  $g_\gamma$  is lower semicontinuous because for  $r < 1$

$$\{(t, s) : g_\gamma(t, s) \leq r\} = \bigcap_{r' > r} (p_\gamma \times p_\gamma)\{(x, y) \in K^2 : g(x, y) \leq r'\}$$

Observe also that if  $x, y \in K$ , then  $g_\gamma(x_\gamma, y_\gamma) = g_\gamma(p_\gamma(x), p_\gamma(y)) \leq g(x, y)$ . Hence,  $K$  is fragmented by

$$g'(x, y) = \sup_{\gamma \in \Gamma} g_\gamma(x_\gamma, y_\gamma) \leq g(x, y)$$

The proof finishes by making use of the following lemma, where we put  $g_0 := g_\gamma$ :

**Lemma 11.** *Let  $g_0 : [0, 1] \times [0, 1] \rightarrow [0, 1]$  be a lower semicontinuous quasi metric on  $[0, 1]$ . Then, there exists  $\tau \in \mathbb{P}$  such that  $h^\tau(|t - s|) \leq g_0(t, s)$  for all  $t, s \in [0, 1]$ .*

PROOF: We define  $\tau$  recursively. Suppose that we have defined  $\tau_1, \dots, \tau_n$  in such a way that if  $|t - s| > \frac{1}{n+1}$ , then  $h^\tau(|t - s|) \leq g_0(t, s)$ . Let

$$K_m = \left\{ (t, s) \in [0, 1] \times [0, 1] : |t - s| \geq \frac{1}{n+2} \text{ and } g_0(t, s) \leq \frac{1}{m} \right\}$$

Then,  $\{K_m\}_{m=1}^\infty$  is a decreasing sequence of compact subsets of  $[0, 1]^2$  with empty intersection. Hence, there is  $m_1$  such that  $K_m$  is empty for  $m \geq m_1$ . We define  $\tau_{n+1} = \max\{m_1, \tau_n + 1\}$ .  $\square$

Now, we state a lemma which is just a piece of the proof of [2, Proposition 3.2]. We include its proof for the sake of completeness.

**Lemma 12.** *Let  $K, L$  be compact spaces, let  $f : K \times K \rightarrow \mathbb{R}$  be a symmetric map which fragments  $K$  and  $p : K \rightarrow L$  a continuous surjection. Then  $L$  is fragmented by  $g(x, y) = f(p^{-1}(x), p^{-1}(y))$  and in particular,  $L$  is fragmented by any  $g'$  with  $g' \leq g$ .*

PROOF: Let  $M$  be a closed subset of  $L$  and  $\varepsilon > 0$ . By Zorn's lemma a set  $N \subset K$  can be found such that  $p : N \rightarrow M$  is onto and irreducible (that is, for every  $N' \subset N$  closed,  $p : N' \rightarrow M$  is not onto). We find  $U \subset N$  a relative open subset of  $N$  of  $f$ -diameter less than  $\varepsilon$ . By irreducibility,  $p(U)$  has nonempty relative interior in  $M$ . This interior is a nonempty relative open subset of  $M$  of  $g$ -diameter less than  $\varepsilon$ .  $\square$

In the sequel, we use the following notation: If  $A \subset \Gamma$  are sets,  $d_A$  states for the pseudometric in  $[0, 1]^\Gamma$  given by  $d_A(x, y) = \sup_{\gamma \in A} |x_\gamma - y_\gamma|$ .

**Lemma 13.** *Let  $K$  be a compact subset of the cube  $[0, 1]^\Gamma$  and let  $\sigma : \Gamma \rightarrow \mathbb{P}$  be a map such that the quasi metric*

$$f(x, y) = \sup_{\gamma \in \Gamma} h^{\sigma(\gamma)}(|x_\gamma - y_\gamma|)$$

*fragments  $K$  and such that  $\sigma(\Gamma)$  is a  $\sigma$ -bounded subset of  $\mathbb{N}^\mathbb{N}$ . Then,  $K$  is Radon-Nikodým compact. In addition, there exist sets  $\Gamma_n \subset \Gamma$  such that  $\Gamma = \bigcup_{n \in \mathbb{N}} \Gamma_n$  and each  $d_{\Gamma_n}$  fragments  $K$ .*

PROOF: There is a decomposition  $\Gamma = \bigcup_{n \in \mathbb{N}} \Gamma_n$  such that each  $\sigma(\Gamma_n)$  has a bound  $\tau_n$  in  $(\mathbb{N}^\mathbb{N}, \leq)$ . We choose  $\tau_n \in \mathbb{P}$ . First, we prove that each  $d_{\Gamma_n}$  fragments  $K$ . For every  $n \in \mathbb{N}$ ,  $K$  is fragmented by the map

$$f_n(x, y) = \sup_{\gamma \in \Gamma_n} h^{\sigma(\gamma)}(|x_\gamma - y_\gamma|) \leq f(x, y)$$

and

$$\begin{aligned} f_n(x, y) &= \sup_{\gamma \in \Gamma_n} h^{\sigma(\gamma)}(|x_\gamma - y_\gamma|) \geq \sup_{\gamma \in \Gamma_n} h^{\tau_n}(|x_\gamma - y_\gamma|) \\ &= h^{\tau_n} \left( \sup_{\gamma \in \Gamma_n} |x_\gamma - y_\gamma| \right) = h^{\tau_n}(d_{\Gamma_n}(x, y)). \end{aligned}$$

Hence, a set of  $f_n$ -diameter less than  $\frac{1}{\tau_n}$  in  $K$  is a set of  $d_{\Gamma_n}$ -diameter less than  $\frac{1}{n}$  and therefore, since  $f_n$  fragments  $K$ , also  $d_{\Gamma_n}$  fragments  $K$ .

Consider now  $p_n : [0, 1]^\Gamma \rightarrow [0, 1]^{\Gamma_n}$  the natural projection and  $K_n = p_n(K)$ . By Lemma 12, since  $K$  is fragmented by  $f_n$ ,  $K_n$  is fragmented by

$$g_n(x, y) = \sup_{\gamma \in \Gamma_n} h^{\sigma(\gamma)}(|x_\gamma - y_\gamma|).$$

and hence,  $K_n$  is Radon-Nikodým compact. Moreover, since  $\Gamma = \bigcup_{n \in \mathbb{N}} \Gamma_n$ ,  $K$  embeds into the product  $\prod_{n \in \mathbb{N}} K_n$  and the class of Radon-Nikodým compacta is closed under taking countable products and under taking closed subspaces [9], so  $K$  is Radon-Nikodým compact.  $\square$

PROOF OF THEOREM 3: If the weight of  $K$  is less than  $\mathbf{b}$ , then  $K$  can be embedded into a cube  $[0, 1]^\Gamma$  with  $|\Gamma| < \mathbf{b}$ . Any subset of  $\mathbb{N}^\mathbb{N}$  of cardinality less than  $\mathbf{b}$  is  $\sigma$ -bounded, so Theorem 3 follows directly from Theorem 10 and Lemma 13.  $\square$

PROOF OF PROPOSITION 4: Let  $K$  be quasi Radon-Nikodým compact, suppose  $K$  is embedded into some cube  $[0, 1]^\Gamma$  and let  $\sigma : \Gamma \rightarrow \mathbb{P}$  be as in Theorem 10. Let  $A \subset \mathbb{P}$  be a cofinal subset of  $\mathbb{P}$  of cardinality  $\mathbf{d}$ . For  $\alpha \in A$ , let

$$\Gamma_\alpha = \{\gamma \in \Gamma : \sigma(\gamma) \leq \alpha\},$$

let  $p_\alpha : [0, 1]^\Gamma \rightarrow [0, 1]^{\Gamma_\alpha}$  be the natural projection, and let  $K_\alpha = p_\alpha(K)$ . Again, since  $\Gamma = \bigcup_{\alpha \in A} \Gamma_\alpha$ ,  $K$  embeds into the product  $\prod_{\alpha \in A} K_\alpha$ . By Lemma 12,  $K_\alpha$  is

fragmented by

$$g_\alpha(x, y) = \sup_{\gamma \in \Gamma_\alpha} h^{\sigma(\gamma)}(|x_\gamma - y_\gamma|)$$

The set  $\{\sigma(\gamma) : \gamma \in \Gamma_\alpha\}$  is a bounded, and hence  $\sigma$ -bounded, set. Hence, by Lemma 13,  $K_\alpha$  is Radon-Nikodým compact.  $\square$

We note that from Lemma 13, we obtain something stronger than Theorem 3:

**Theorem 14.** *For every quasi Radon-Nikodým compact subset of a cube  $[0, 1]^\Gamma$  with  $|\Gamma| < \mathbf{b}$  there is a countable decomposition  $\Gamma = \bigcup_{n \in \mathbb{N}} \Gamma_n$  such that  $d_{\Gamma_n}$  fragments  $K$  for all  $n \in \mathbb{N}$ .*

A similar result holds also for generalized Cantor cubes (cf. [5, Theorem 3], [2, Theorem 3.6]): If  $K$  is a quasi Radon-Nikodým compact subset of  $\{0, 1\}^\Gamma$ , then there is a decomposition  $\Gamma = \bigcup_{n \in \mathbb{N}} \Gamma_n$  such that  $d_{\Gamma_n}$  fragments  $K$  for all  $n \in \mathbb{N}$ . We give now an example which shows that this phenomenon does not happen for general cubes, even if the compact  $K$  has weight less than  $\mathbf{b}$  or it is zero-dimensional:

**Proposition 15.** *There exist a set  $\Gamma$  of cardinality  $\mathbf{b}$  and a compact subset  $K$  of  $[0, 1]^\Gamma$  homeomorphic to the metrizable Cantor cube  $\{0, 1\}^\mathbb{N}$  such that for any decomposition  $\Gamma = \bigcup_{n \in \mathbb{N}} \Gamma_n$  there exists  $n \in \mathbb{N}$  such that  $d_{\Gamma_n}$  does not fragment  $K$ .*

PROOF: First, we take  $\Gamma$  a subset of  $\mathbb{N}^\mathbb{N}$  of cardinality  $\mathbf{b}$  which is not  $\sigma$ -bounded. We call  $A = \{\gamma_n : \gamma \in \Gamma, n \in \mathbb{N}\}$  the set of all terms of elements of  $\Gamma$ . We define

$$K' = \{x \in \{0, 1\}^{\Gamma \times \mathbb{N}} : x_{\gamma, n} = x_{\gamma', n'} \text{ whenever } \gamma_n = \gamma'_{n'}\}.$$

Observe that  $K'$  is homeomorphic to  $\{0, 1\}^\mathbb{N}$ : namely, for each  $a \in A$  choose some  $\gamma^a, n^a \in \Gamma \times \mathbb{N}$  such that  $\gamma_{n^a}^a = a$ ; in this case we have a homeomorphism  $K' \rightarrow \{0, 1\}^A$  given by  $x \mapsto (x_{\gamma^a, n^a})_{a \in A}$ .

Now, we consider the embedding  $\phi : \{0, 1\}^{\Gamma \times \mathbb{N}} \rightarrow [0, 1]^\Gamma$  given by

$$\phi(x) = \left( \sum_{n \in \mathbb{N}} \left( \frac{2}{3} \right)^n x_{\gamma, n} \right)_{\gamma \in \Gamma}$$

We claim that the space  $K = \phi(K') \subset [0, 1]^\Gamma$  verifies the statement. Let  $\Gamma = \bigcup_{n \in \mathbb{N}} \Gamma_n$  any countable decomposition of  $\Gamma$ . Since  $\Gamma$  is not  $\sigma$ -bounded, there is some  $n \in \mathbb{N}$  such that  $\Gamma_n$  is not bounded. For this fixed  $n$ , since  $\Gamma_n$  is not bounded, there is some  $m \in \mathbb{N}$  such that the set  $S = \{\gamma_m : \gamma \in \Gamma_n\} \subset A$  is infinite. We consider

$$K_0 = \{x \in K' : x_{\gamma, k} = 0 \text{ whenever } \gamma_k \notin S\} \subset K.$$

By the same arguments as for  $K'$ ,  $K_0$  is homeomorphic to the Cantor cube  $\{0, 1\}^\mathbb{N}$  by a map  $K_0 \rightarrow \{0, 1\}^S$  given by  $x \mapsto (x_{\gamma^a, n^a})_{a \in S}$ . Now, we take two different elements  $x, y \in K_0$ . Then, there must exist some  $\gamma \in \Gamma_n$  such that  $x_{\gamma, m} \neq y_{\gamma, m}$ , and this implies that  $|\phi(x)_\gamma - \phi(y)_\gamma| \geq 3^{-m}$  and therefore  $d_{\Gamma_n}(\phi(x), \phi(y)) \geq 3^{-m}$ . This means that any nonempty subset of  $\phi(K_0)$  of  $d_{\Gamma_n}$ -diameter less than  $3^{-m}$  must be a singleton. If  $d_{\Gamma_n}$  fragmented  $K$ , this would imply that  $\phi(K_0)$  has an isolated point, which contradicts the fact that it is homeomorphic to  $\{0, 1\}^\mathbb{N}$ .  $\square$

## 2. BANACH SPACES OF LOW DENSITY CHARACTER

In this section we find that cardinal  $\mathbf{b}$  is the least possible density character of Banach spaces which are counterexamples to several questions. First, we introduce some notation: If  $A$  is a subset of a Banach space  $V$ , we call  $d_A$  to the pseudometric  $d_A(x^*, y^*) = \sup_{x \in A} |x^*(x) - y^*(x)|$  on  $B_{V^*}$ . Also, we recall the following definition [4, Definition 1.4.1]:

**Definition 16.** A nonempty bounded subset  $M$  of a Banach space  $V$  is called an *Asplund set* if for each countable set  $A \subset M$  the pseudometric space  $(B_{V^*}, d_A)$  is separable.

By [3, Theorem 2.1],  $M$  is an Asplund subset of  $V$  if and only if  $d_M$  fragments  $(B_{V^*}, w^*)$ . Also, by [4, Theorem 1.4.4], a Banach space  $V$  is Asplund generated if and only if it is the closed linear span of an Asplund subset.

PROOF OF THEOREM 5: Let  $\Gamma$  be a dense subset of the unit ball  $B_V$  of  $V$  of cardinality less than  $\mathbf{b}$ . Then, we have a natural embedding  $(B_{V^*}, w^*) \subset [-1, 1]^\Gamma$ . Since  $(B_{V^*}, w^*)$  is quasi Radon-Nikodým compact, we apply Theorem 14 and we have  $\Gamma = \bigcup \Gamma_n$  and each  $d_{\Gamma_n}$  fragments  $(B_{V^*}, w^*)$ . This means that for each  $n$ ,  $\Gamma_n$  is an Asplund set, and by [4, Lemma 1.4.3],  $M = \bigcup_{n \in \mathbb{N}} \frac{1}{n} \Gamma_n$  is an Asplund set too. Finally, since the closed linear span of  $M$  is  $V$ , by [4, Theorem 1.4.4],  $V$  is Asplund generated.  $\square$

We recall now the concepts that we need for the proof of Theorem 8. We follow the terminology and notation of [4, sections 3.1, 4.1]. Let  $X$  and  $Y$  be topological spaces. A map  $\phi : X \rightarrow 2^Y$  from  $X$  to the subsets of  $Y$  is said to be an usco if the following conditions hold:

- (1)  $\phi(x)$  is a compact subset of  $Y$  for all  $x \in X$ .
- (2)  $\{x : \phi(x) \subset U\}$  is open in  $X$ , for every open set  $U$  of  $Y$ .

In this situation, for  $A \subset X$  we denote  $\phi(A) = \bigcup_{x \in A} \phi(x)$ .

A completely regular topological space  $X$  is said to be  $\mathcal{K}$ -analytic if there exists an usco  $\phi : \mathbb{N}^{\mathbb{N}} \rightarrow 2^X$  such that  $\phi(\mathbb{N}^{\mathbb{N}}) = X$ . A Banach space is weakly  $\mathcal{K}$ -analytic if it is a  $\mathcal{K}$ -analytic space in its weak topology.

We note that if a Banach space  $V$  contains a weakly  $\sigma$ -compact subset  $M$  which is dense in the weak topology, then  $V$  is WCG. This is because if  $M = \bigcup_{n=1}^{\infty} K_n$  being  $K_n$  a weakly compact set bounded by  $c_n > 0$ , then  $\{0\} \cup \bigcup \frac{1}{nc_n} K_n$  is a weakly compact subset of  $V$  whose linear span is (weakly) dense in  $V$ . Hence, Theorem 8 is deduced from the following:

**Proposition 17.** *If  $X$  is a  $\mathcal{K}$ -analytic topological space which contains a dense subset of cardinality less than  $\mathbf{b}$ , then  $X$  contains a dense  $\sigma$ -compact subset.*

PROOF: We have an usco  $\phi : \mathbb{N}^{\mathbb{N}} \rightarrow 2^X$  with  $\phi(\mathbb{N}^{\mathbb{N}}) = X$  and also a set  $\Sigma \subset \mathbb{N}^{\mathbb{N}}$  such that  $|\Sigma| < \mathbf{b}$  and  $\phi(\Sigma)$  is dense in  $X$ . Any subset of  $\mathbb{N}^{\mathbb{N}}$  of cardinal less than



$\mathbf{b}$  is contained in a  $\sigma$ -compact subset of  $\mathbb{N}^{\mathbb{N}}$  [17, Theorem 9.1]. Usco send compact sets onto compact sets, so if  $\Sigma' \supset \Sigma$  is  $\sigma$ -compact, then  $\phi(\Sigma')$  is a dense  $\sigma$ -compact subset of  $X$ .  $\square$

We recall that a completely regular topological space  $X$  is  $\mathcal{K}$ -countably determined if there exists a subset  $\Sigma$  of  $\mathbb{N}^{\mathbb{N}}$  and an usco  $\phi : \Sigma \rightarrow 2^X$  such that  $\phi(\Sigma) = X$  and that a Banach space is weakly countably determined if it is  $\mathcal{K}$ -countably determined in its weak topology. Talagrand [16] has constructed a Banach space which is weakly countably determined but which is not weakly  $\mathcal{K}$ -analytic. A slight modification of this example gives a similar one with density character  $\omega_1$ . This shows that no analogue of Theorem 8 is possible for weakly countably determined Banach spaces. The change in the example consists in substituting the set  $T$  considered in [16, p. 78] by any subset  $T' \subset T$  of cardinal  $\omega_1$  such that  $\{o(X) : X \in T'\}$  is uncountable and  $\mathcal{A}$  by  $\mathcal{A}' = \{A \subset T' : A \in \mathcal{A}_1\}$  (the notations are explained in [16]).

Now, we turn to the fact that cardinal  $\mathbf{b}$  is best possible in Theorem 5, Theorem 8 and their corollaries. We fix a subset  $S$  of  $\mathbb{N}^{\mathbb{N}}$  of cardinality  $\mathbf{b}$  which is not  $\sigma$ -bounded.

Following the exposition of the example of Argyros in [4, section 1.6] we just substitute the space  $Y = \overline{\text{span}}\{\pi_\sigma : \sigma \in \mathbb{N}^{\mathbb{N}}\}$  in [4, Theorem 1.6.3] by  $Y' = \overline{\text{span}}\{\pi_\sigma : \sigma \in S\}$  and we obtain a Banach space of density character  $\mathbf{b}$  which is a subspace of a WCG space  $C(K)$  but which is not Asplund generated. The same arguments in [4, section 1.6] hold just changing  $\mathbb{N}^{\mathbb{N}}$  by  $S$  where necessary. Only the proof of [4, Lemma 1.6.1] is not good for this case. It must be substituted by the following:

**Lemma 18.** *Let  $\Gamma_n$ ,  $n \in \mathbb{N}$ , be any subsets of  $S$  such that  $\bigcup_{n \in \mathbb{N}} \Gamma_n = S$ . Then there exist  $n, m \in \mathbb{N}$  and an infinite set  $A \in \mathcal{A}_m$  such that  $A \subset \Gamma_n$ .*

Here, as in [4, section 1.6],  $\mathcal{A}_m$  is the family of all subsets  $A \subset \mathbb{N}^{\mathbb{N}}$  such that if  $\sigma, \tau \in A$  and  $\sigma \neq \tau$ , then  $\sigma_i = \tau_i$  if  $i \leq m$  and  $\sigma_{m+1} \neq \tau_{m+1}$ . Also,  $\mathcal{A} = \bigcup_{m=1}^{\infty} \mathcal{A}_m$ .

PROOF OF LEMMA 18: We consider  $\Gamma_{i,j} = \{\sigma \in \Gamma_i : \sigma_1 = j\}$ ,  $i, j \in \mathbb{N}$ . Note that  $S = \bigcup_{i,j} \Gamma_{i,j}$ . Since  $S$  is not  $\sigma$ -bounded, there exist  $n, l$  with  $\Gamma_{n,l}$  unbounded. This implies that for some  $m$ , the set  $\{\sigma_m : \sigma \in \Gamma_{n,l}\}$  is infinite. We take  $m$  the least integer with this property ( $m > 1$ ). Let  $B \subset \Gamma_{n,l}$  be an infinite set such that  $\sigma_m \neq \sigma'_m$  for  $\sigma, \sigma' \in B$ ,  $\sigma \neq \sigma'$ . Since all  $\sigma_k$  with  $\sigma \in B$ ,  $k < m$ , lie in a finite set, an infinite set  $A \subset B$  can be chose such that  $A \in \mathcal{A}_{m-1}$ .  $\square$

On the other hand, if we follow the proof in [4, section 4.3] that the Banach space  $C(K)$  of Talagrand is weakly  $\mathcal{K}$ -analytic but not WCG, and we change  $K$  in [4, p. 76] by  $K' = \{\chi_A : A \in \mathcal{A}, A \subset S\} \subset \{0, 1\}^S$  then  $C(K')$  still verifies this conditions and has density character  $\mathbf{b}$ . Observe that  $C(K')$  is weakly  $\mathcal{K}$ -analytic because  $K'$  is a retract of the original  $K$ . The fact that  $C(K')$  is not WCG (not even a subspace of a WCG space) follows from [4, Theorem 4.3.2] and Lemma 18 above by the same arguments as in [4, p. 78].

## 3. COUNTABLY LOWER FRAGMENTABLE COMPACTA

In this section we prove that the concept of quasi Radon Nikodým compact [2] is equivalent to that of countably lower fragmentable compact [5]. The main result for this class in [5] is that if  $K$  is countably lower fragmentable, then so is  $(B_{C(K)^*}, w^*)$ . We note that, with these two facts at hand, together with the fact that if  $C(K)$  is Asplund generated, then  $K$  is Radon-Nikodým [4, Theorem 1.5.4], Theorem 3 is deduced from Theorem 5.

We need some notation: if  $K$  is a compact space and  $A \subset C(K)$  is a bounded set of continuous functions over  $K$ , we define the pseudometric  $d_A$  on  $K$  as  $d_A(x, y) = \sup_{f \in A} |f(x) - f(y)|$ . If  $X$  is a topological space,  $d : X \times X \rightarrow \mathbb{R}$  is a map, and  $\Delta$  is a positive real number, it is said that  $d$   $\Delta$ -fragments  $X$  if for each subset  $L$  of  $X$  there is a relative open subset  $U$  of  $L$  of  $d$ -diameter less than or equal to  $\Delta$ .

**Definition 19.** A compact space  $K$  is said to be countably lower fragmentable if there are bounded subsets  $\{A_{n,p} : n, p \in \mathbb{N}\}$  of  $C(K)$  such that  $C(K) = \bigcup_{n \in \mathbb{N}} A_{n,p}$  for every  $p \in \mathbb{N}$ , and the pseudometric  $d_{A_{n,p}}$   $\frac{1}{p}$ -fragments  $K$ .

This is the definition as it appears in [5]. However, variable  $p$  is superfluous in it. If the sets  $A_{n,1}$  exist, it is sufficient to define  $A_{n,p} = \{\frac{1}{p}f : f \in A_{n,1}\}$ .

On the other hand, we recall a concept introduced by Namioka [9]: For a topological space  $K$ , a set  $L \subset K \times K$  is said to be an *almost neighborhood of the diagonal* if it contains the diagonal  $\Delta_K = \{(x, x) : x \in K\}$  and satisfies that for every nonempty subset  $X$  of  $K$  there is a nonempty relative open subset  $U$  of  $X$  such that  $U \times U \subset L$ . The use of this was suggested to us by I. Namioka and simplifies our original proof.

**Theorem 20.** For a compact subset  $K$  of  $[0, 1]^\Gamma$  the following are equivalent:

- (1)  $K$  is quasi Radon-Nikodým compact
- (2)  $K$  is countably lower fragmentable.
- (3) There are subsets  $\Gamma_{n,p}$ ,  $n, p \in \mathbb{N}$ , of  $\Gamma$  such that  $d_{\Gamma_{n,p}}$   $\frac{1}{p}$ -fragments  $K$  for every  $n, p \in \mathbb{N}$ .

PROOF: Suppose  $K$  is quasi Radon-Nikodým compact and let  $\phi$  be a lower semicontinuous quasi metric which fragments  $K$ . Then, we just define

$$A_{n,p} = \left\{ f \in C(K) : |f(x) - f(y)| < \frac{1}{p} \text{ whenever } \phi(x, y) \leq \frac{1}{n} \right\} \cap \{f : \|f\|_\infty \leq n\}$$

Clearly,  $d_{A_{n,p}}$   $\frac{1}{p}$ -fragments  $K$  because any subset of  $K$  of  $\phi$ -diameter less than  $\frac{1}{n}$  has  $d_{A_{n,p}}$ -diameter less than  $\frac{1}{p}$ , and we know that  $\phi$  fragments  $K$ . On the other hand, for a fixed  $p \in \mathbb{N}$ , in order to prove that  $C(K) = \bigcup_{n \in \mathbb{N}} A_{n,p}$ , observe that, if  $f \in C(K)$ , then

$$C_n = \left\{ (x, y) \in K \times K : |f(x) - f(y)| \geq \frac{1}{p} \text{ and } \phi(x, y) \leq \frac{1}{n} \right\}$$

is a decreasing sequence of compact subsets of  $K \times K$  with empty intersection so there is some  $n > \|f\|_\infty$  such that  $C_n$  is empty, and then,  $f \in A_{n,p}$ .

That (2) implies (3) is evident, just to take  $\Gamma_{n,p} = A_{n,p} \cap \Gamma$  whenever  $A_{n,p}$ ,  $n, p \in \mathbb{N}$  are the sets in the definition of countably lower fragmentability.

Now, suppose (3). For every  $n, p \in \mathbb{N}$ , since  $d_{A_{n,p}} \frac{1}{p}$ -fragments  $K$ , this means that the set  $C_{n,p} = \{(x, y) \in K \times K : d_{\Gamma_{n,p}}(x, y) \leq \frac{1}{p}\}$  is an almost neighborhood of the diagonal which, in addition, is closed. On the other hand, observe that, for each  $n, p \in \mathbb{N}$ ,  $(x, y) \in C_{n,p}$  if and only if  $|x_\gamma - y_\gamma| \leq \frac{1}{p}$  for all  $\gamma \in \Gamma_{n,p}$  so that

$$\bigcap_{n,p \in \mathbb{N}} C_{n,p} = \bigcap_{p \in \mathbb{N}} \left\{ (x, y) : |x_\gamma - y_\gamma| \leq \frac{1}{p} \forall \gamma \in \bigcup_{n \in \mathbb{N}} \Gamma_{n,p} = \Gamma \right\} = \Delta_K$$

Now,  $K$  is quasi Radon-Nikodým by virtue of [10, Theorem 1], which states that  $K$  is quasi Radon-Nikodým compact if and only if there is a countable family of closed almost neighborhoods of the diagonal whose intersection is the diagonal  $\Delta_K$ .

□

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